



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Akinobu Sato, et al.

Serial No.: 10/573,942

Examiner: Rodney Glenn McDonald
Michael Band

Filing Date: March 29, 2006

Art Unit: 1753
1795Title: Solid surface smoothing
method and apparatus therefor

Conf. No. 5411

September 11, 2007
San Francisco, California

Mail Stop Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The undersigned certifies that each of the following references was contained in a communication from the EPO in a counterpart foreign application not more than three months prior to the filing of this statement.

The disclosed references are:

PCT Application Publication No. WO 03/048407, Epion Corp., dated 6-12-2003.

PCT Application Publication No. WO 01/41181, Epion Corp., dated 6-7-2001.

Yamada, I., et al.; "Materials processing by gas cluster ion beams," Materials Science & Engineering Reports, Elsevier Sequoia, S.A., Lausanne, CH, Vol. 34, no. 6, Oct. 30-01, pp. 231-295.

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

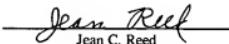
Respectfully submitted,



Larry Mendenhall
Reg. No. 38,555
601 California St., Suite 1111
San Francisco, CA 94108-2805
Telephone: (415) 989-8080
Facsimile: (415) 989-0910

Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and all enclosed materials are being deposited with the United States Postal Service on September 11, 2007 with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Jean C. Reed

Enc. PTO Form 1449
Cited references (3)
Return receipt postcard

Substitute Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NAA237	S.N. 10/573,942
SEP 14 2007 TRADEMARK OFFICE Initial		INFORMATION DISCLOSURE STATEMENT		APPLICANT Akinobu Sato, et al.	
				FILING DATE March 29, 2006	GROUP 1795
U.S. PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	
		US-			
FOREIGN PATENT DOCUMENTS					
*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO
		WO 03/048407	6-12-2003	PCT, Epion Corp.	
		WO 1/41181	6-7-2001	PCT, Epion Corp.	
NON-PATENT LITERATURE DOCUMENTS					
*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			TRANSLATION YES NO
		Yamada, I., et al.: "Materials processing by gas cluster ion beams," Materials Science & Engineering Reports, Elsevier Sequoia, S.A., Lausanne, CH, Vol. 34, no. 6, Oct. 30-01, pp. 231-295.			
EXAMINER /Michael Band/		DATE CONSIDERED 09/09/2009			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.B./